

SEWARD & KISSEL LLP

Investment Management Practice

2021 Highlights

Represented over 45 of the Top 200 hedge fund managers (based on gross AUM)

Advised more than 70 new hedge fund launches

Named the "Best Law Firm – Client Service" by HFM US Services Awards 2021



Added two new partners – Daniel Bresler and Nicholas Miller Advised more than 55 new closed-end fund launches (private equity, venture capital, real estate, private credit)

Closed more than 100 PE, VC, RE, debt and other private transactions

Negotiated over 45 seed, accelerator, platform and investment manager M&A transactions

Advised on over 70 exams with various regulators

Closed over 275

Named the "Best Law Firm – Fund Structuring" – Private Equity Wire US Awards 2021



Represented 40 clients in various SEC, DOJ, FINRA and other white collar & regulatory matters

Counseled over 100 registered funds and ETFs

Added more than 12 new Seward & Kissel Regulatory Compliance (SKRC) Clients Negotiated against more than 50% of the Top 100 private equity LPs (by number of investments)

Advised on more than 120 investment adviser compliance programs

Conducted over 20 investment adviser compliance trainings

Filed over 1,000 Section 13 and Section 16 filings

Structured over 40 ERISA hard-wired plan asset funds

Launched two new podcast series: ESG Spotlight & Fundraising Focus

18 attorneys ranked as SuperLawyers

Named "Best Law Firm - Digital Assets" -Hedgeweek Americas Awards 2021



Provided counsel on over 30 fund restructurings

Publications and Events

- Released inaugural Alternative Investment Allocator Survey
- Released inaugural SMA Snapshot Report
- Released 2020 New Hedge Fund Study (Tenth Edition)
- Released 2020 Seed Transaction Study (Seventh Edition)
- Released 2020-2021 Hedge Fund Side Letter Study (Sixth Edition)
- Hosted our Seventh Annual Private Funds Forum



PARTNERS & COUNSEL SERVING INVESTMENT MANAGEMENT CLIENTS

Bankruptcy & Distressed Investments

Arlene R. Alves John R. Ashmead Robert J. Gayda

Broker-Dealer Regulation

Robert B. Van Grover Valentino Vasi

Business Transactions

James E. Abbott Gerhard Anderson Meir R. Grossman Nick Katsanos Danielle Lemberg Dora Pulido Craig A. Sklar

Corporate Finance & Derivatives

Gregg Bateman
Jeffrey M. Berman
Sharan Calay
Greg B. Cioffi
Daphne Coelho-Adam
Kalyan "Kal" Das
Kimberly A. Giampietro
Lauri K. Goodwyn
David R. Johnson
Hoyoon Nam
Michele "Miki" Navazio
Sagar Patel
Kurt Plankl
Andrew Robertson
Andrew Silverstein

Steven Starr

Michael S. Timpone

Robert F. Wood

Corporate Securities, Capital Markets & Activism

Keith Billotti Edward S. Horton Filana Silberberg Anthony Tu-Sekine

ERISA, Tax, Trusts & Estates

Derick W. Betts, Jr.
Jon P. Brose
James C. Cofer
Daniel C. Murphy
Michael E. O'Brien
S. John Ryan
Scott M. Sambur
Hume R. Steyer
David E. Stutzman
Lori A. Sullivan

Investment Management

Alexandra Alberstadt Jay Baroody Daniel Bresler Debra A. Franzese Lancelot A. King Robert M. Kurucza Paul M. Miller Nicholas R. Miller Joseph M. Morrissey David R. Mulle Steven B. Nadel Kevin Neubauer Patricia A. Poglinco Christopher C. Riccardi **David Tang** Robert B. Van Grover

Litigation, Employment & Intellectual Property

Beth H. Alter
Michael G. Considine
Ross Hooper
Mark D. Kotwick
Brian P. Maloney
Michael J. McNamara
Philip Moustakis
Anne C. Patin
Bruce G. Paulsen
Julia C. Spivack
Jack Yoskowitz

Real Estate

Rhona J. Kisch Adam D. Lesnick Ian Silver



Investment Management Practice

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