

SEWARD & KISSEL LLP

Investment Management Practice

2017 Highlights

Tier 1 Law Firm, Private Funds / Hedge Funds – National & NYC U.S. News Rankings

registrations and compliance programs

Top Law Firm –

Represented over 45 of the Top 200 hedge fund managers (based on gross fund assets)

Closed more than 60 PE, VC, real estate, debt, fund finance and other private transactions

Closed over 75

Negotiated over 35 seed, accelerator, platform and investment manager M&A transactions

Advised more than 50 new private fund launches Proportion of Onshore Hedge Funds Serviced Preqin Special Report: Hedge Fund Service Providers

Completed over 25

SEC and/or CFTC

Structured over 40 ERISA hard-wired plan asset funds

over 50 managers on

various restructuring

initiatives relating to

tax or other

operational factors

plan asset funds
CLO-related
transactions

Provided counsel to

Launched interdisciplinary Blockchain group

Advised over 100 registered funds

Represented over 30 clients in SEC, DOJ, FINRA and other white collar & regulatory matters

Handled over 25 litigation and employment actions for clients

29 attorneys ranked as SuperLawyers and 7 attorneys ranked as SuperLawyers Rising Stars

Effective January 2018, Debra Franzese was promoted to Partner in Investment Management

Publications and Events

- Released New Hedge Fund Study (Seventh Edition)
- Released Hedge Fund Side Letter Study (Second Edition)
- Launched SKRC Compliance Weekly
- Sent out over 50 IM-related client alerts
- Hosted second annual Private Funds Forum
- Investment Management partners spoke at over 30 events



PARTNERS & COUNSEL SERVING INVESTMENT MANAGEMENT CLIENTS

Bank Regulatory

Paul T. Clark

Bankruptcy & Distressed Investments

Arlene R. Alves John R. Ashmead Y. Daphne Coelho-Adam Ronald L. Cohen Robert J. Gayda

Broker-Dealer Regulation

Anthony C.J. Nuland Marlon Q. Paz

Business Transactions

James E. Abbott Gerhard Anderson Meir R. Grossman Nick Katsanos Craig A. Sklar

Corporate Finance & Derivatives

Gregg Bateman
Jeffrey M. Berman
Sharan Calay
Greg B. Cioffi
Kalyan "Kal" Das
Lauri K. Goodwyn
James H. Hancock
Craig T. Hickernell
John F. Imhof Jr.
David R. Johnson
Hoyoon Nam
Andrew Robertson
Lawrence Rutkowski
Andrew Silverstein

Michael S. Timpone

Robert A. Walder

Corporate Securities, Capital Markets & Activism

Keith Billotti Edward S. Horton Robert E. Lustrin Anthony Tu-Sekine Gary J. Wolfe

ERISA, Tax, Trusts & Estates

Derick W. Betts, Jr.
Jon P. Brose
Ronald P. Cima
James C. Cofer
Daniel C. Murphy
Michael E. O'Brien
Peter E. Pront
S. John Ryan
Scott M. Sambur
Hume R. Steyer
David F. Stutzman

Investment Management

Robert L. Chender
John J. Cleary
Debra A. Franzese
Maureen R. Hurley
Paul M. Miller
Joseph M. Morrissey
David R. Mulle
Steven B. Nadel
Patricia A. Poglinco
Christopher C. Riccardi
Keri E. Riemer
David Tang
John E. Tavss
Robert B. Van Grover

Litigation, Employment & Intellectual Property

Beth H. Alter

Dale C. Christensen Jr. Michael G. Considine

Rita M. Glavin

Ross Hooper

Mark J. Hyland

Mark D. Kotwick

Michael J. McNamara

M. William Munno

Anne C. Patin

Bruce G. Paulsen

Julia C. Spivack

Jack Yoskowitz

Real Estate

Mark A. Brody Adam D. Lesnick Ian Silver Jeffrey D. Silverstein

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